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Human-Computer Interaction in Industry: A Systematic Review on the Applicability and Value-added of Operator Assistance Systems

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Foundations and Trends[®] in Human-Computer Interaction

Published, sold and distributed by:

now Publishers Inc.
PO Box 1024
Hanover, MA 02339
United States
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www.nowpublishers.com
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Outside North America:

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The preferred citation for this publication is

M. Moencks *et al.*. *Human-Computer Interaction in Industry: A Systematic Review on the Applicability and Value-added of Operator Assistance Systems*. Foundations and Trends[®] in Human-Computer Interaction, vol. 16, no. 2-3, pp. 65–213, 2022.

ISBN: 978-1-63828-123-8
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Foundations and Trends[®] in Human-Computer Interaction

Volume 16, Issue 2-3, 2022

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Foundations and Trends® in Human-Computer Interaction, 2022, Volume 16, 4 issues. ISSN paper version 1551-3955. ISSN online version 1551-3963. Also available as a combined paper and online subscription.

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Human-Computer Interaction in Industry: A Systematic Review on the Applicability and Value-added of Operator Assistance Systems

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ABSTRACT

In industry, the Fourth Industrial Revolution is transforming the roles of people, technology and work on the shop floor. Despite ongoing strides towards automation, people are anticipated to remain integral contributors in future manufacturing. Where full automation is ineffective or infeasible, Operator Assistance Systems (OAS) can augment workers' cognitive or physical capabilities. We frame OAS as a subset of Human-Computer Interaction (HCI) systems designed for the purpose of workforce augmentation in production systems. However, while OAS are anticipated to address key needs in industry, a challenge for both OAS researchers and industrial practitioners is to identify the most promising applications of OAS and justify them from a value-added perspective. This contribution addresses this challenge by presenting a systematic literature review of 2,928 papers, revealing (a) 11 application areas for OAS; and (b) 12 approaches for assessing the value-added of OAS.

Mirco Moencks, Elisa Roth, Thomas Bohné and Per Ola Kristensson (2022), "Human-Computer Interaction in Industry: A Systematic Review on the Applicability and Value-added of Operator Assistance Systems", *Foundations and Trends® in Human-Computer Interaction*: Vol. 16, No. 2-3, pp 65-213. DOI: 10.1561/1100000088.

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Moreover, we discuss implications for OAS, with a particular focus on integrating OAS in industry.

1

Introduction

1.1 Motivation

We live in a manufactured world. The manufacturing and production industry is one of the primary sources of economic prosperity for most countries (Sainsbury, 2020). In general, production deals with (a) the transformation of materials into goods by applying energy, labor, information, and other means of production; and (b) operations which enable or support this transformation (Dyckhoff, 2006). Industries typically strive to produce the right goods for the right customer at the right time, location, quantity, quality, and cost. In addition to this overarching objective, manufacturing industries are faced with a set of challenges, such as (a) meeting increased customer requirements; (b) addressing increasing complexity of products and supply chains; (c) ensuring machine and workforce productivity; and (d) empowering the workforce by creating opportunities for training and lifelong learning (Moencks *et al.*, 2022c; Kochan, 2017; Drucker, 1999).

In order to increase productivity, organizations have leveraged technologies in production systems since the beginning of industrialization, ranging from simple tools to sophisticated assembly lines. In the 1960s, two approaches to improve production emerged: *automation* and *aug-*

mentation (Engelbart, 1962). In the context of *Levels of Automation* (LoA) (Frohm *et al.*, 2008), full automation typically aims to replace humans with autonomous agents or processes. Augmentation strives to identify the optimal combination of humans and technology in production environments. Until recently, physical and mechanical *automation* dominated industrial research. However, with the emergence of concepts such as the Fourth Industrial Revolution (I4.0), the potential of HCI serving a transformative role in manufacturing has gained significant interest and relevance in industry (Figure 1.1).

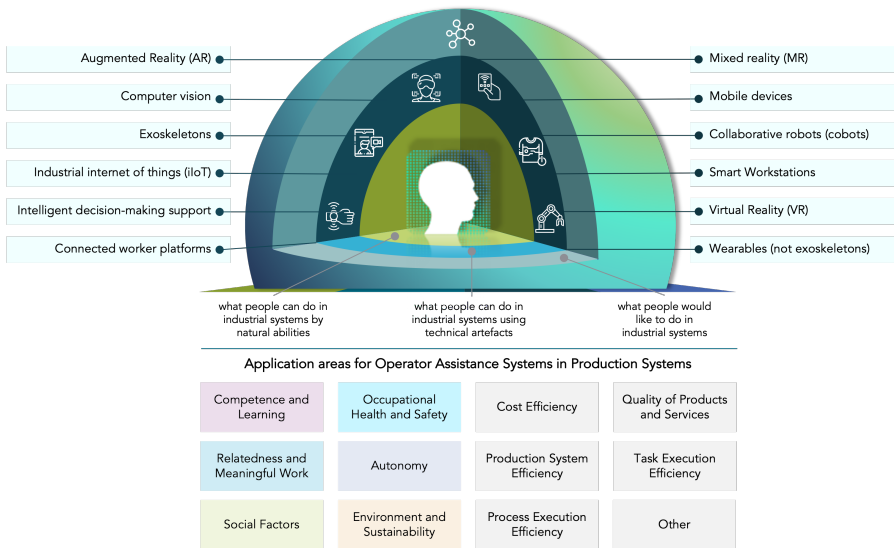


Figure 1.1: Operator Assistance Systems in Industry. The review revealed 12 types of Operator Assistance Systems that can augment the capabilities of operators.

I4.0 is a concept subsuming current developments in advanced manufacturing environments and value chains. A core objective of I4.0 is the intelligent interconnection of operators, manufacturing machines, cyber-physical systems, and processes by the means of Information and Communication Technology (ICT) (Kagermann *et al.*, 2011). Eventually, the interconnection of these agents results in human-in-the-loop Cyber-Physical Production Systems (CPPS). As depicted in Figure 1.2, in CPPS, humans interact with both the physical world and the cyber sphere to ensure that production processes are effective. Although

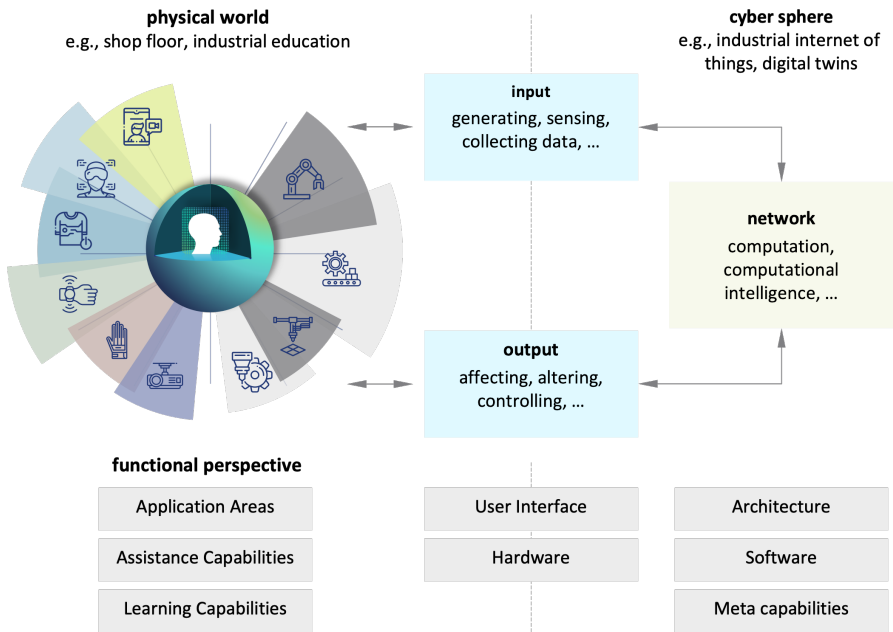


Figure 1.2: Cyber-physical Production Systems. The figure depicts the relationship between the physical world, the cyber sphere and OAS (highlighted in color) that can augment the capabilities of the workforce during the execution of industrial jobs. Building on Moencks *et al.* (2022a) and Roth *et al.* (2022).

humans have been interacting with computers for decades in manufacturing, the relationship between humans and computers is expected to become increasingly relevant, and potentially ubiquitous, for operators.

Activities related to I4.0 and OAS in CPPS typically imply a significant transformation of the roles of people and technology, as well as the nature of work on shop floors (Moencks *et al.*, 2022c; Costa *et al.*, 2019). Additionally, with an increased degree of automation technology in industrial environments, it appears to be more challenging to operate and maintain production systems (Bainbridge, 1983; Kremer, 1993). Further, many manufacturing organizations' capability to remain competitive depends on their ability to increase workforce productivity and effectively train human operators (Penesis *et al.*, 2017; Chryssolouris *et al.*, 2013). Where total automation is considered ineffective or infeasible, the presence of humans is anticipated to remain essential on

future shop floors (see Figure 1.1 and Pfeiffer, 2016). In face of rising complexities in production, Operator Assistance Systems (OAS) are seen as a promising approach to increase business excellence and workforce satisfaction, resulting in more human-centric production systems (Moencks *et al.*, 2022a). This development coincides with evolving visions of work-balancing human-centric considerations with advancements in technologies (Pacaux-Lemoine and Flemisch, 2021). Although there is still no consensus on how this concept should be named and defined, some work labeled these human-centric considerations “Industry 5.0” (Breque *et al.*, 2021), or “Society 5.0” (Deguchi *et al.*, 2020).

1.2 Introduction to OAS

OAS can be conceptualized as human-computer interaction systems specifically designed to interact with industrial operators to augment their cognitive or physical capabilities whilst performing certain work tasks (Figure 1.2). For example, OAS may augment cognitive capabilities, such as communication (Mantravadi *et al.*, 2020), data capturing and data analysis (Roth *et al.*, 2020), decision-making support (Ito *et al.*, 2020), information and knowledge management (López-Ramos *et al.*, 2019), or task guidance (Liu and Chiang, 2018) for a variety of cross-hierarchical, i.e., strategic, tactic and operational, user groups (Mark *et al.*, 2019b; Moencks *et al.*, 2022a; Flemisch *et al.*, 2019).

An OAS typically leverages technologies such as (a) immersive reality, i.e., Virtual Reality (VR) (Schroeder *et al.*, 2017), eye-worn or projection based Augmented Reality (AR) (Schmiedinger *et al.*, 2020), and Mixed Reality (MR) (Munoz *et al.*, 2020); (b) computer vision (Roth *et al.*, 2020); (c) exoskeletons (Salvadore *et al.*, 2020); (d) industrial Internet of Things (IIoT) (Cecil *et al.*, 2018); (e) knowledge platforms (Ansari, 2020); (f) mobile devices (Josifovska *et al.*, 2019); (g) collaborative or mobile robots (Schmidbauer *et al.*, 2020); (h) static (desktop) setups (Boring *et al.*, 2015); or (i) other wearable devices (Minnetti *et al.*, 2020). For example, one use case of OAS is in quality inspection. Here, a computer vision-enabled application filters products based on an optical inspection and forwards products that may require another in-depth qualitative assessment by humans. During the qualitative assessment carried out by an operator, a projection-based AR application highlights those areas

that may potentially be faulty and displays relevant product information, thus decreasing cognitive load and search time for the operator.

1.3 Objectives

When considering OAS in industry, it is crucial to understand the concept of economic viability, which is typically used in industrial decision-making processes (Naumann and Pflaum, 2018). This means that any investment into OAS will need to provide a positive return (Neely *et al.*, 1995). Existing work that has systematically reviewed OAS in industry focused on (a) worker capabilities (Dornelles *et al.*, 2022), (b) design principles (Gil *et al.*, 2019), (c) integration architectures (Cimini *et al.*, 2020), or (d) technological capabilities of OAS (Roth *et al.*, 2022). However, there is a lack of knowledge on the value-added of OAS in different application areas of OAS in manufacturing (Table 1.1). Despite the existence of multiple use cases of OAS in production and operations, industry seems to be lagging behind advances in OAS research. Many insights and best practices from OAS research have not been adopted by industry yet (Moencks *et al.*, 2020). One reason for this might be the non harmonized understanding of OAS' potential in industry. The current body of knowledge lacks understanding of the distinctive scenarios where OAS could be effectively integrated in production systems (Moencks *et al.*, 2020).

Table 1.1: Comparison of OAS reviews.

| Dimension of Analysis | Dornelles <i>et al.</i> (2022) | Gil <i>et al.</i> (2019) | Cimini <i>et al.</i> (2020) | Roth <i>et al.</i> (2022) | This review |
|-----------------------------------|--------------------------------|--------------------------|-----------------------------|---------------------------|-------------|
| Industry 4.0 technologies | YES | YES | YES | YES | YES |
| OAS application areas | YES | | | | YES |
| OAS design | | YES | | YES | YES |
| Technological capabilities of OAS | | | YES | YES | |
| Augmented workforce capabilities | YES | | YES | | |
| Value-added (qualified) | YES | | | | YES |
| Value-added (quantified) | | | | | YES |

Following a systematic literature review, this work explores the role of OAS in industry, the application areas of OAS to support human operators on shop floors, and the value-added by OAS on the overall industrial system they are embedded in. As outlined below, this paper provides four contributions to the OAS community:

- **Application areas of OAS in manufacturing and production industries.** We outline to what extent manufacturing and production can be characterized as areas where (a) OAS are increasingly relevant; or (b) the full potential of OAS advances have not yet been realized.
- **Value-added of OAS.** The value-added that an OAS provides to a production environment is often the single most relevant criterion in the decision-making process for deploying OAS in industry. Consequently, this paper provides the OAS community with some approaches that can be used to meet this value-driven requirement. This is achieved by discussing value metrics that can be used to emphasize the usefulness of an OAS to industrial practitioners.
- **Implications.** As part of the objective to enable the OAS community to better understand how manufacturing and production industries use OAS, we shed light on OAS-related adoption barriers, contextual issues, and areas to focus on when conducting research in advanced manufacturing and production. To that end we discuss the requirements of several cross-hierarchical stakeholder groups (e.g., technology user, buyer, workforce council, manager).
- **HCI in industry.** This contribution shows that more HCI research is required for manufacturing organizations to be able to effectively integrate technologies into their production systems. We hope this contribution can act as a stimulation for further HCI research in manufacturing contexts (hence the selection of this journal). To achieve that, a dual approach seems promising, i.e. (a) fostering further HCI research in the context of manufacturing, and (b) empowering manufacturing organizations to further explore HCI to improve their production systems.

This work is organized as follows (Table 1.2): Section 2.1 delineates the applied review method. Because OAS research involves multiple, interrelated research fields such as information systems research or human-computer interaction, it was necessary to build upon complementary review methods (cf. Tranfield *et al.* (2003), Ridley (2012), Wobbrock and Kientz (2016), Denyer and Tranfield (2009), and Page *et al.* (2021)). Second, overall findings are presented to put the application areas of OAS into perspective (Section 2.2). Section 3 explores how far the value-added of OAS has been considered in related work from different perspectives. Then, emphasis is placed on OAS application areas (Section 4), and the assessment of OAS in industry (Section 5). Section 6 synthesizes the insights gained from the research. Finally, the conclusion reflects upon the immediate and broader implications of this work.

Table 1.2: Outline of the Systematic Literature Review.

| # | Focus of Review | Section |
|----|--|---------|
| 1 | Review method | 2.1 |
| 2 | Overall findings of reviewed work | 2.2 |
| 3 | Value-added of OAS | 3 |
| 4 | Identified application areas of OAS | 4 |
| 5 | Application area: Production System Efficiency | 4.1 |
| 6 | Application area: Competence and Learning | 4.2 |
| 7 | Application area: Relatedness and Meaningful Work | 4.3 |
| 8 | Application area: Task Execution Efficiency | 4.4 |
| 9 | Application area: Occupational Health and Safety | 4.5 |
| 10 | Application area: Quality of Products and Services | 4.6 |
| 11 | Application area: Process Execution Efficiency | 4.7 |
| 12 | Application area: Autonomy | 4.8 |
| 13 | Application area: Cost Efficiency | 4.9 |
| 14 | Application area: Social Factors | 4.10 |
| 15 | Application area: Environment and Sustainability | 4.11 |
| 16 | OAS Assessment in Industry | 5 |

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